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Group 2700

PATENT  
Case No. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: )  
HOWARD B. GARBER ) Group Art Unit: 2762  
Serial No.: 08/868,200 ) Examiner: Patel, J.  
Filed: June 3, 1997 )  
Title: SYSTEM AND METHOD FOR )  
TRADING HAVING A PRINCIPAL )  
MARKET MAKER )

Assistant Commissioner For Patents  
Washington, D.C. 20231

AMENDMENT UNDER 37 C.F.R. § 1.115

Dear Sir:

In response to the Office Action mailed August 18, 1998, Applicant submits the following amendments and remarks, and requests reconsideration of the application.